

# CYCLODEXTRIN INCLUSION COMPLEXES FOR IMPROVED DRUG ACTIVITY

Department of Chemistry  
Shailabala Women's Autonomous College, Cuttack

SWAPNA SANKAR NAYAK PhD



2-3

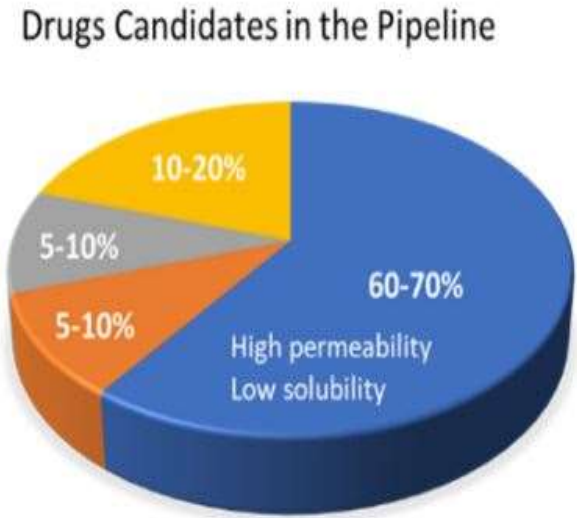
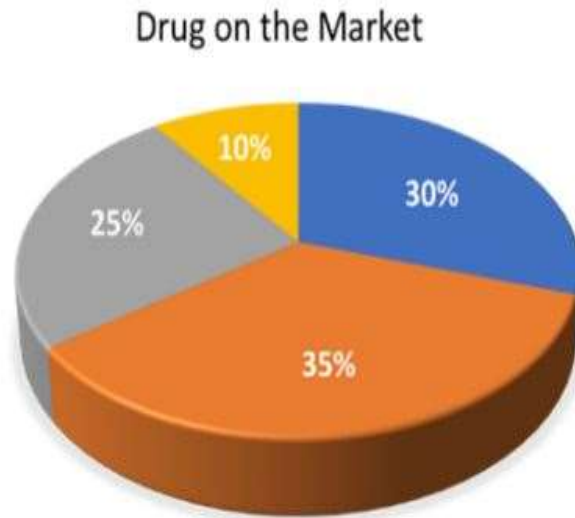
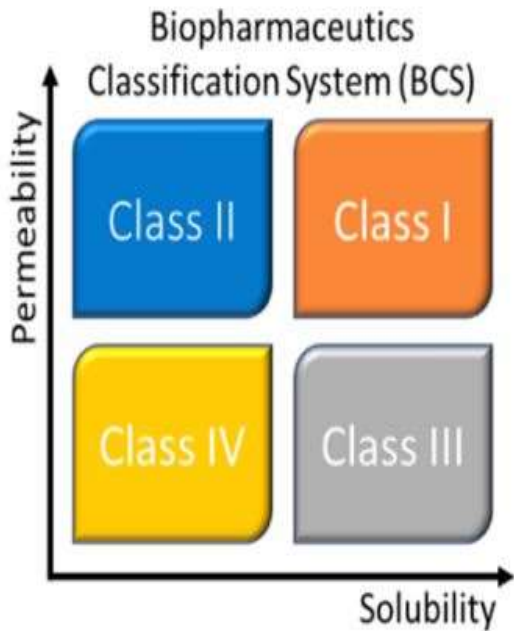
Copyright 1978  
The Register and Tribune  
Syndicate, Inc.

®

P. B. KEANE

"How will that stuff get from down there up to my sore throat?"





**Class 1**  
High solubility  
High permeability

**Class 2**  
Low solubility  
High permeability

**Class 3**  
High solubility  
Low permeability

**Class 4**  
Low solubility  
Low permeability



# Cyclodextrins

## Molecules with cavities...

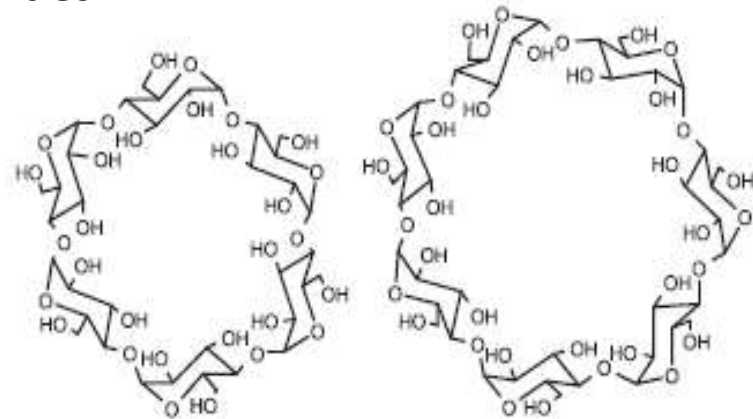
Stoddart: “*Cyclodextrins are all-purpose molecular containers for organic, inorganic, organometallic, and metalloorganic compounds that may be neutral, cationic, anionic, or even radical*”.

Cyclodextrins comprise of a family of cyclic oligosaccharides and are topologically represented as toroidal macro rings built up from glucopyranose units

$\alpha$ -CD: Cyclohexamylose comprises six glucopyranose units

$\beta$ -CD: Cycloheptaamylose comprises seven glucopyranose units

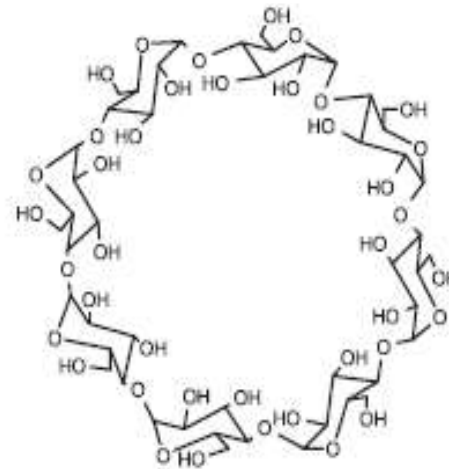
$\gamma$ -CD: Cyclooctaamylose comprises eight glucopyranose units



$\alpha$

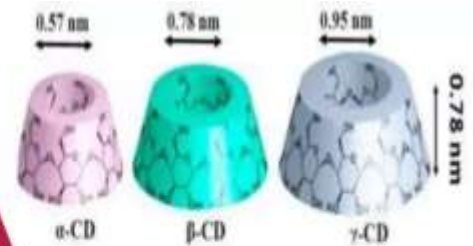
$\beta$

glucopyranoside units



$\gamma$

## Three forms of Cyclodextrins available



### Alpha(α)

- Used **Parenterally**.
- Used with **small drug molecules** due to very **small cavity**.

### Beta(β)

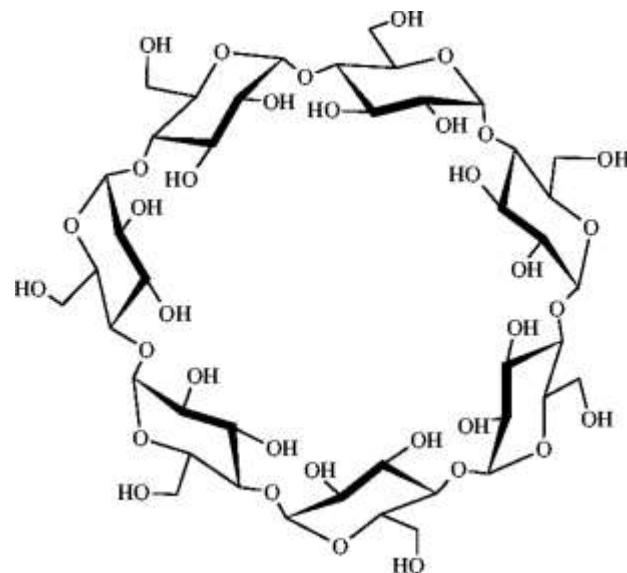
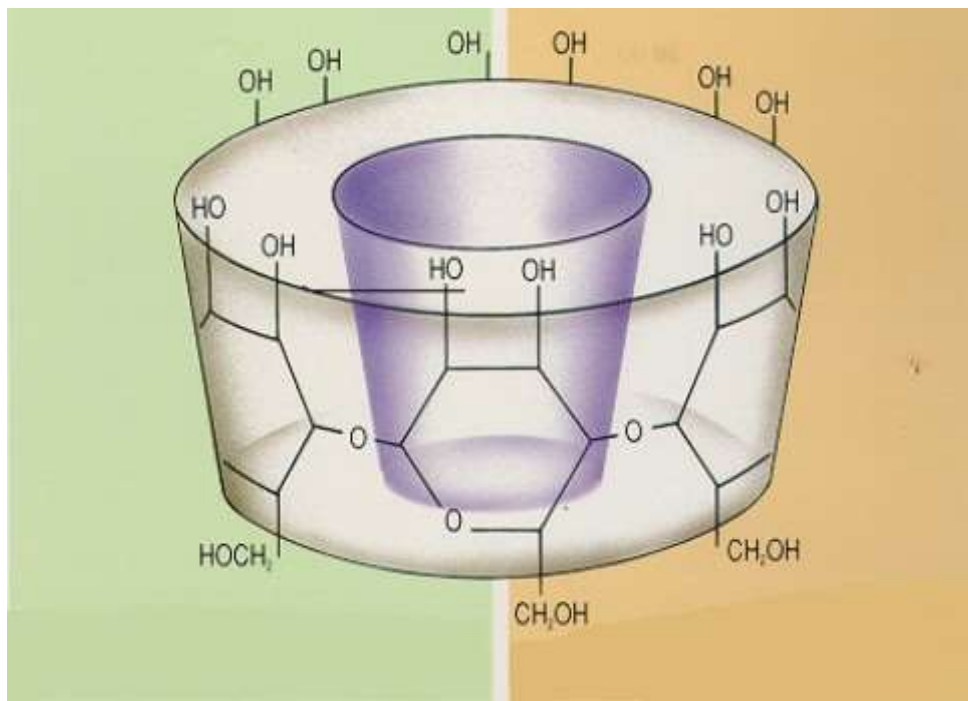
- This form **mainly used**.
- Least soluble but **least expensive**.
- Commercially **available** from number of sources.
- **Compatible with many drugs** and molecules.
- **Nephrotoxic** when given **parenterally**.
- Whereas **nontoxic** when given **orally** through tablets and capsules
- Can be **wet granulated** as well as **direct compression**

### Gamma(γ)

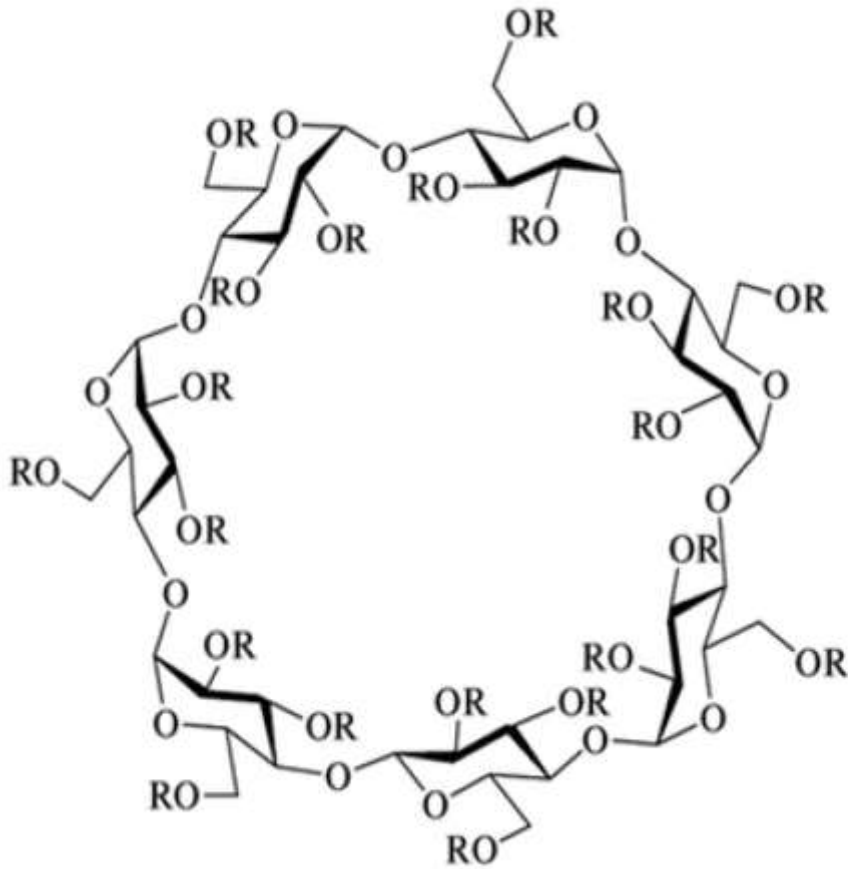
- This form has **largest cavity**
- Low toxicity
- Enhanced solubility

## ***STRUCTURAL FEATURES***

Both types of hydroxyl groups are situated at **the exterior** separately on either edges of the cone (torus)  $-\text{CH}_2\text{OH}$  groups at the narrow edge and  $>\text{CHOH}$  groups at the wider edge. **The interior** of the torus consists only a lining of hydrogen atoms and glycosidic oxygen bridges. The interior of the toroids is **hydrophobic** while the exterior is sufficiently **hydrophilic** to make CDs water soluble.



# DERIVATIVES OF $\beta$ -CYCLODEXTRIN



**Cyclodextrin**

**R =**

M $\beta$ CD

-CH<sub>3</sub>

HP $\beta$ CD

-CH<sub>2</sub>CH(OH)CH<sub>3</sub>

CM $\beta$ CD

-CH<sub>2</sub>COONa

SBE $\beta$ CD

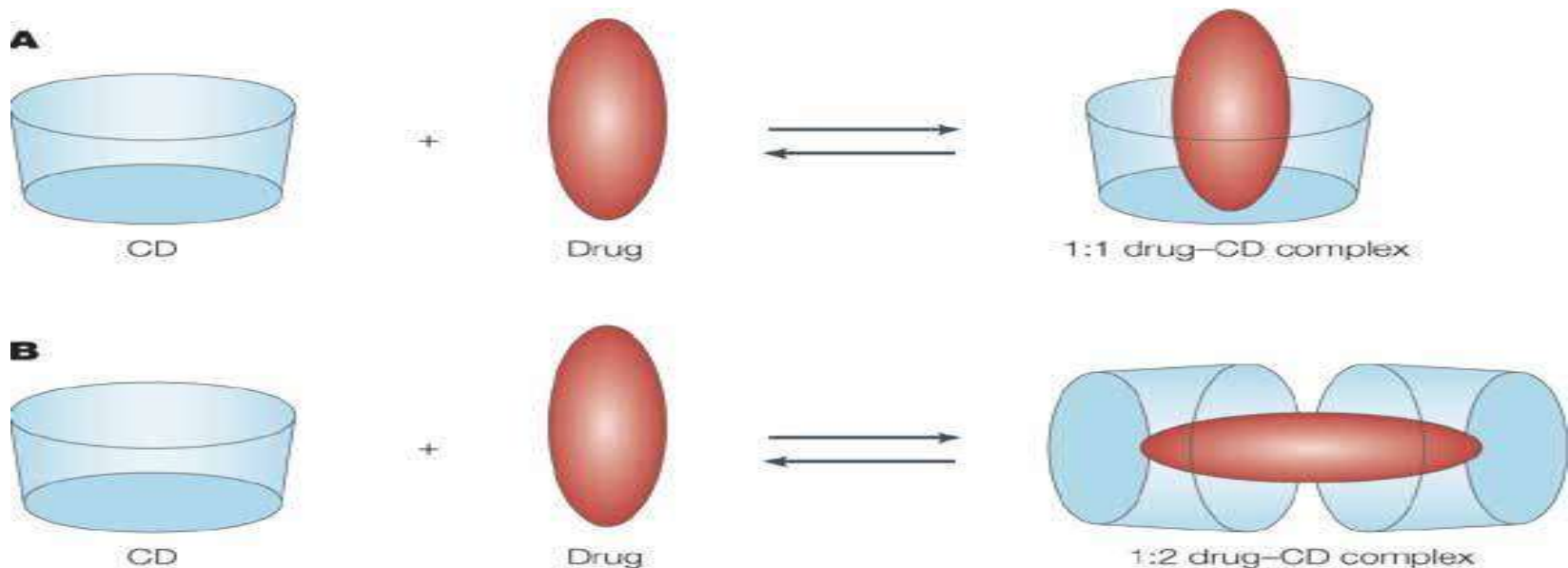
-(CH<sub>2</sub>)<sub>4</sub>SO<sub>3</sub>Na



## CD Inclusion Complex Formation

**Inclusion complex** is formed when a hydrophobic “guest” gets entrapped in the cavity of “host” CD, a process with dynamic equilibrium driven by *Loss of free-energy & Gain in entropy*

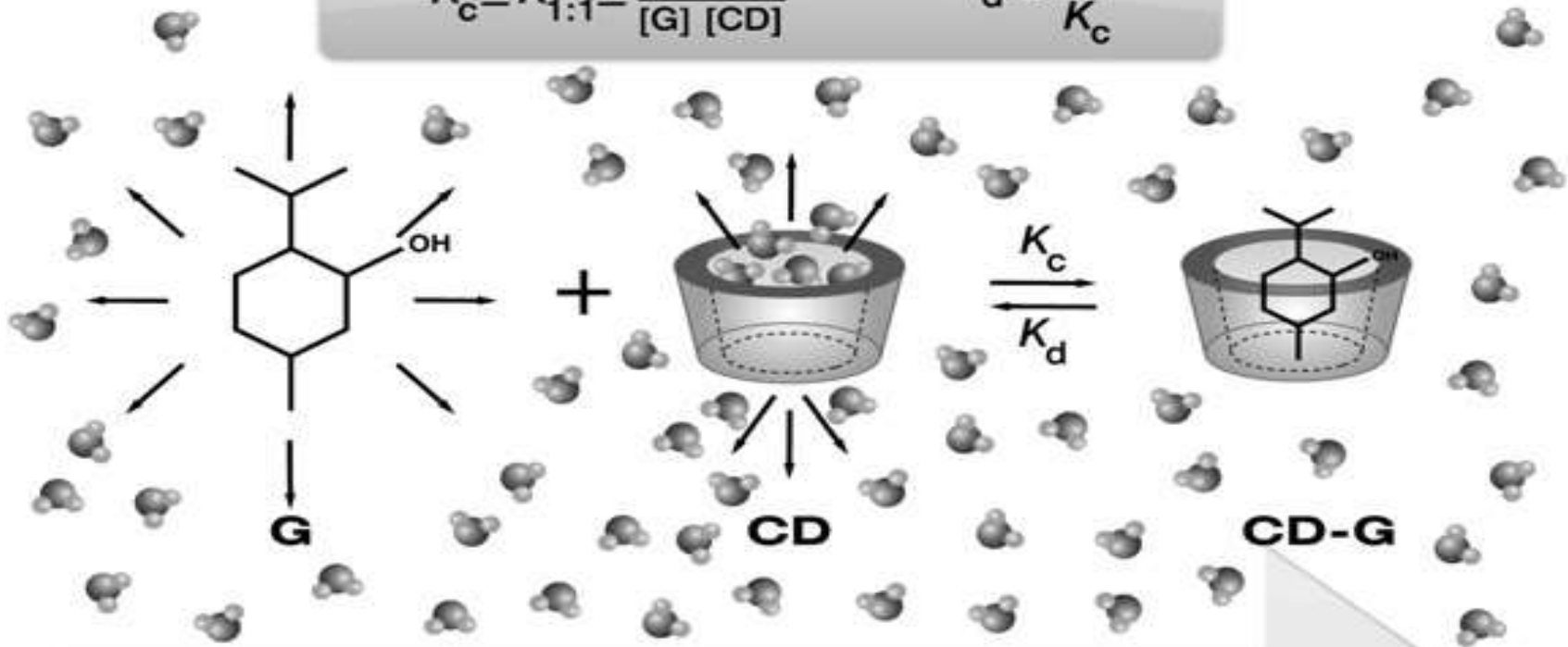
- minimum requirement for inclusion complex formation is a size compatibility between host and guest.



# MECHANISM

$$K_c = K_{1:1} = \frac{[\text{CD-G}]}{[\text{G}][\text{CD}]}$$

$$K_d = \frac{1}{K_c}$$

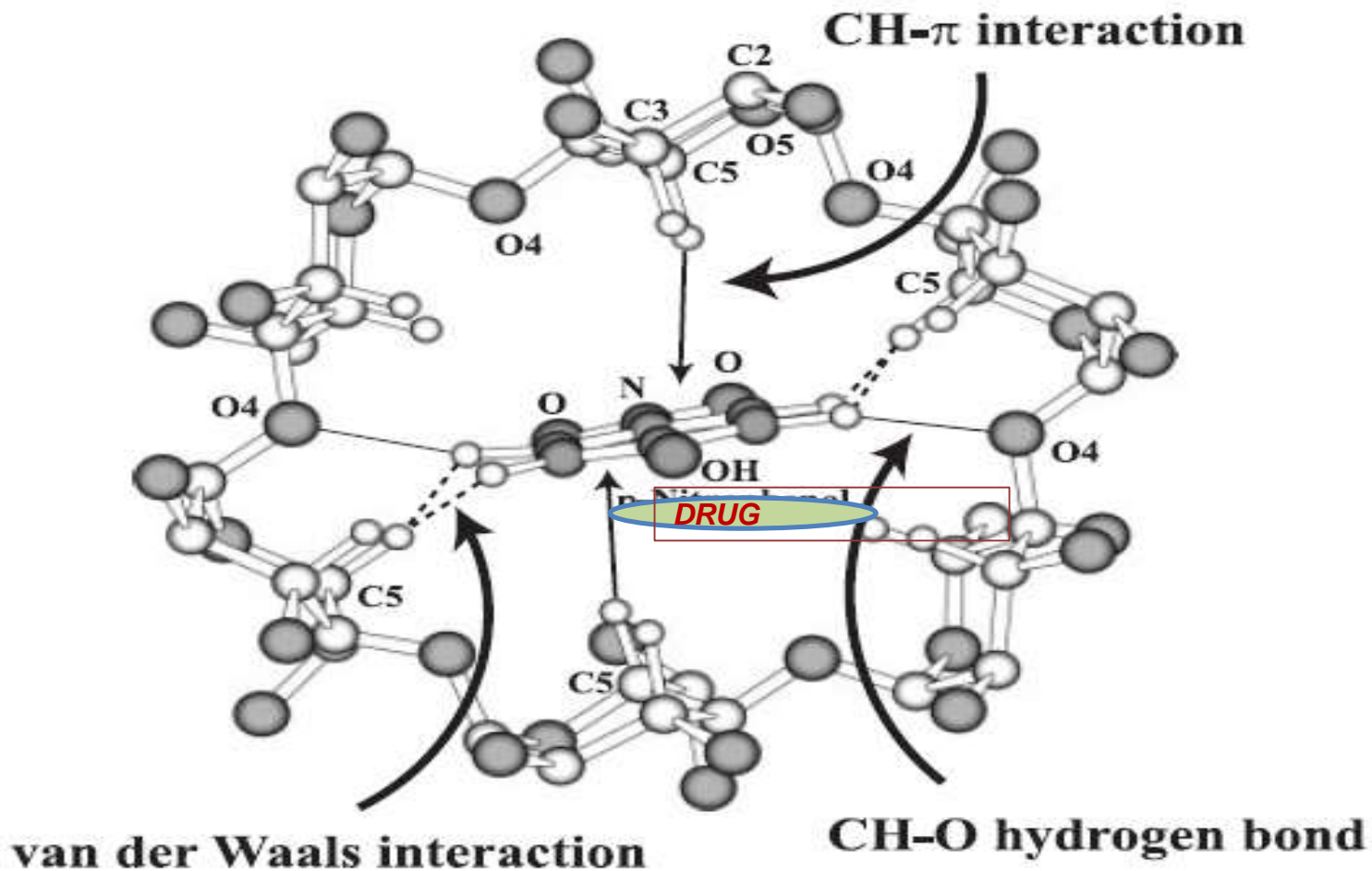


A reduction of the repulsive interactions between the hydrophobic guest and the aqueous environment.

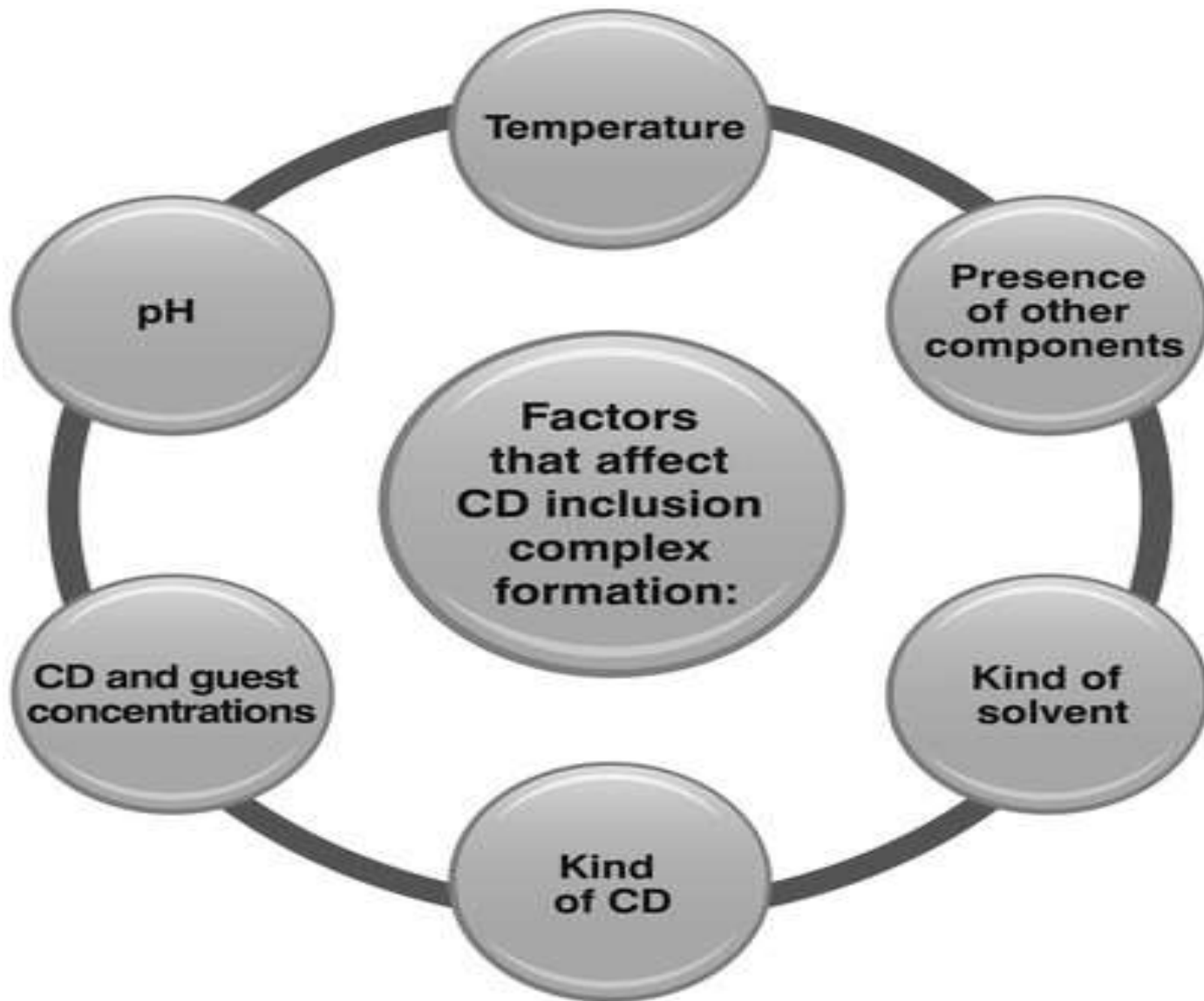
The displacement of polar water molecules from the apolar cyclodextrin cavity.

An increase in the hydrophobic interactions as the guest inserts itself into the apolar cyclodextrin cavity.

## Host-Guest Interactions



*Non-covalent interactions like hydrophobic interaction, vander Waals forces and hydrogen bonds lead to the formation of inclusion complexes.*



**Cyclodextrins are Crystalline, non hygroscopic, cyclic oligosaccharides derived from starch**



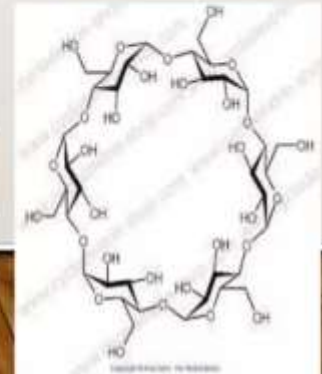
Cyclodextrins are **inclusion compounds**

Hydrophilic Exterior surface and nonpolar interior cavity.

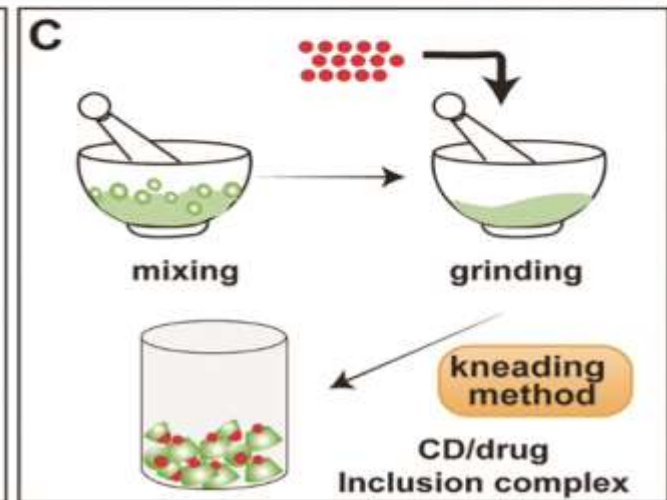
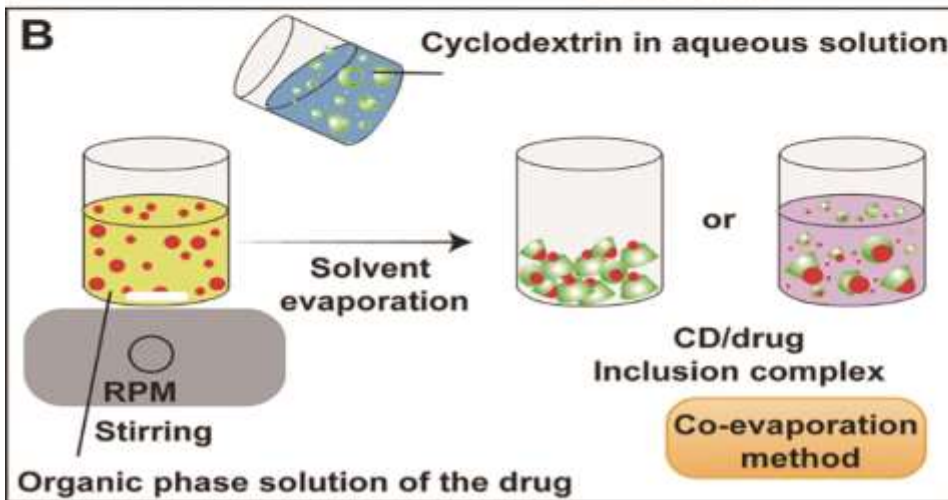
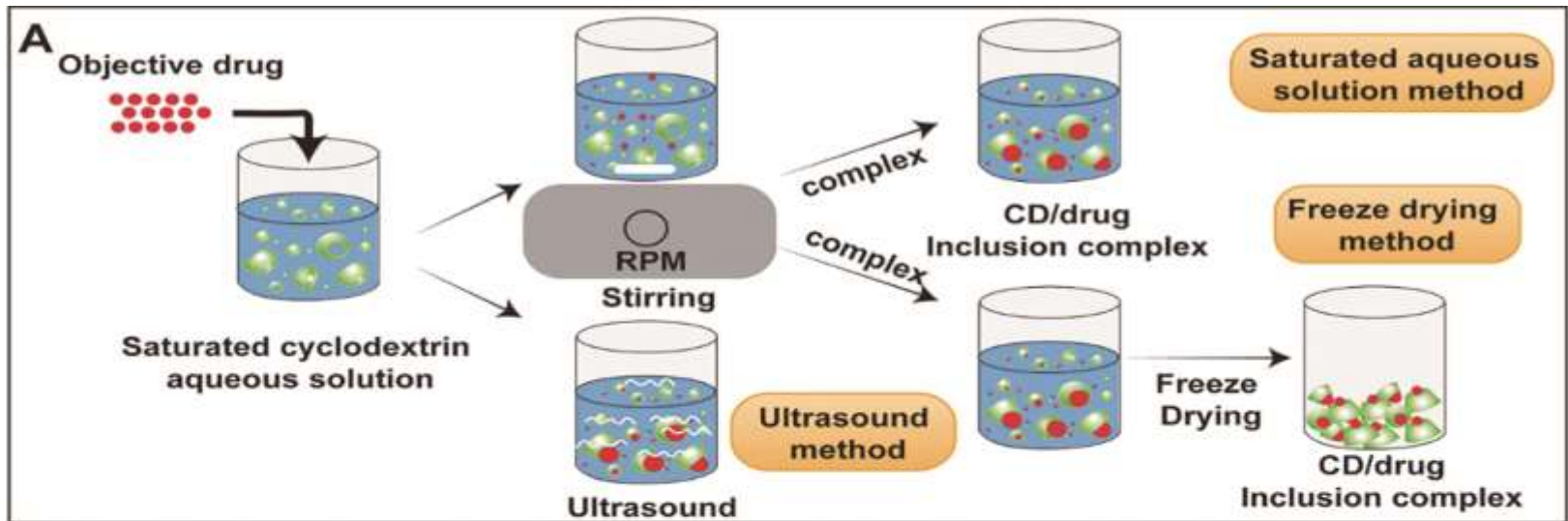
Forms **noncovalent bonds** with hydrophobic drugs

Entraps single guest molecule in cavity of host molecule

Due to arrangements of molecules and **hydroxyl groups**



# PREPARATION OF $\beta$ -CD INCLUSION COMPLEXES

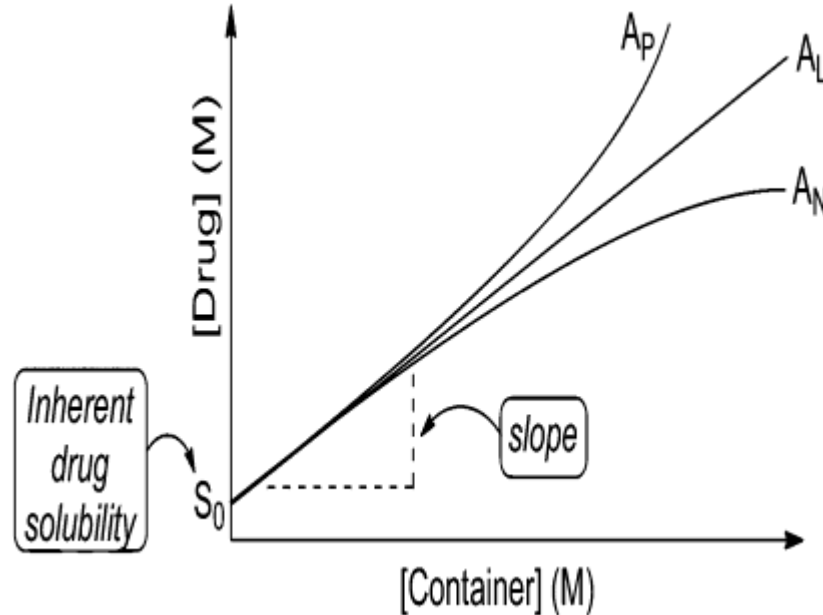
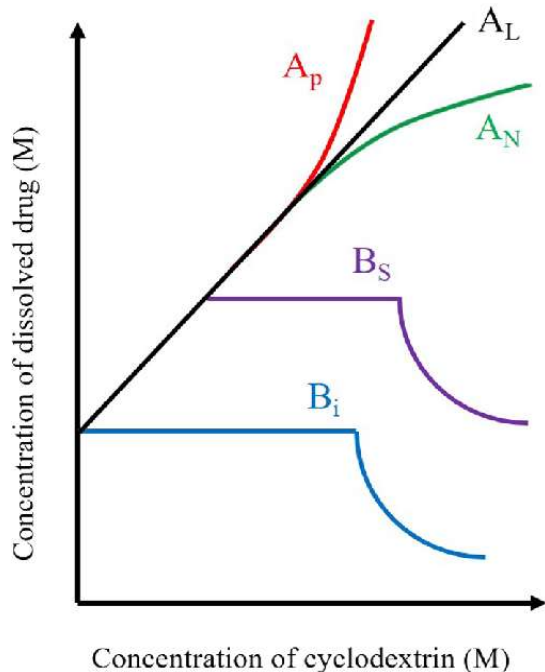


# Experimental Methods

- **Phase Solubility Studies**
- **Higuchi and Connors method-phase solubility curves-to ascertain the stoichiometry- To find stability constant K**

Phase-solubility fall in two main categories, A-type curves are indicated for the formation of soluble inclusion complexes while B-type behaviour are suggestive of the formation of inclusion complexes of poor solubility. The A-curves are subdivided into  $A_L$  (linear increases of drug solubility as a function of cyclodextrin concentration),  $A_p$  (positively deviating isotherm) and  $A_N$  (negatively deviating isotherms) subtypes.

$$K_s = \frac{\text{slope}}{S_0 (1 - \text{slope})}$$



## Experimental Methods

- **Thermodynamic Studies**
- To determine  $\Delta G$ ,  $\Delta H$  &  $\Delta S$  for the inclusion complexation from the temperature dependency of the binding constants of the complexes
- Curve with linear regression obtained by plotting  $\ln OD$  vs.  $1/T$  which are fitted to van't Hoff eqn:

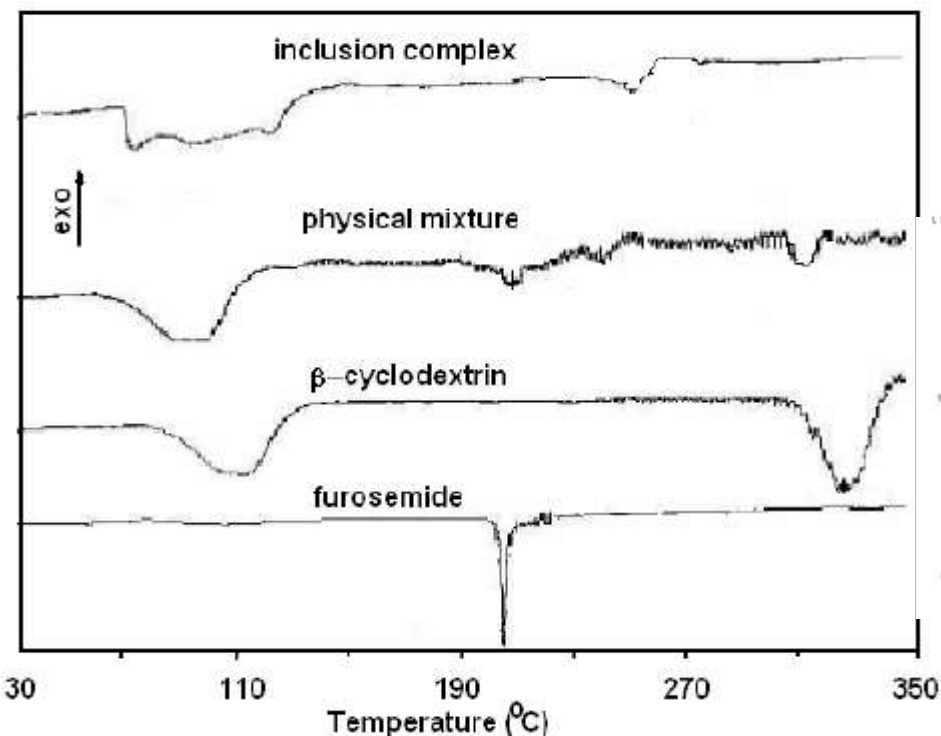
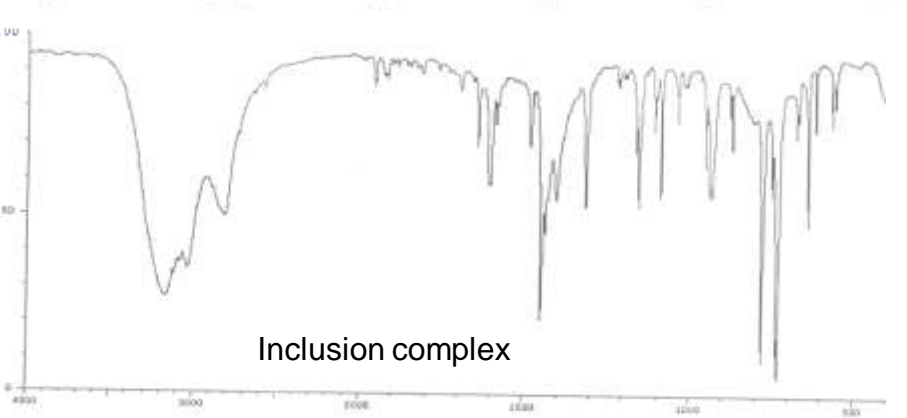
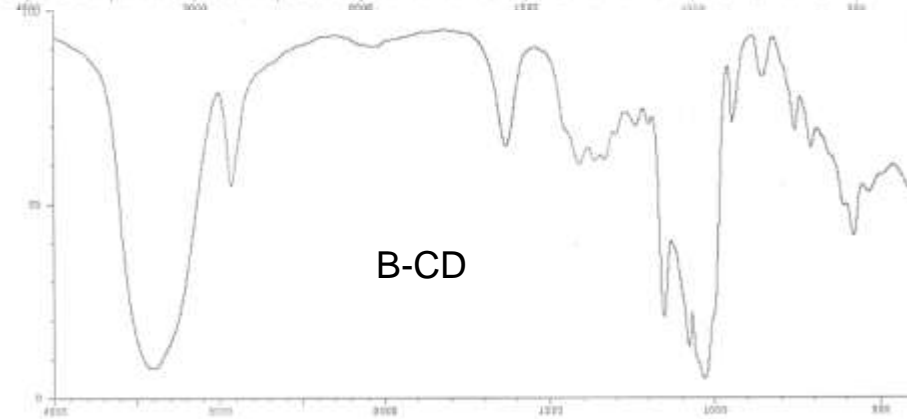
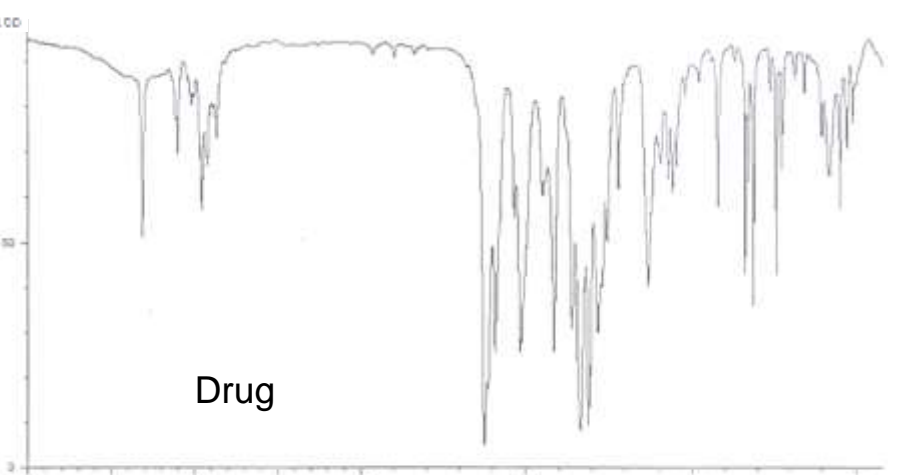
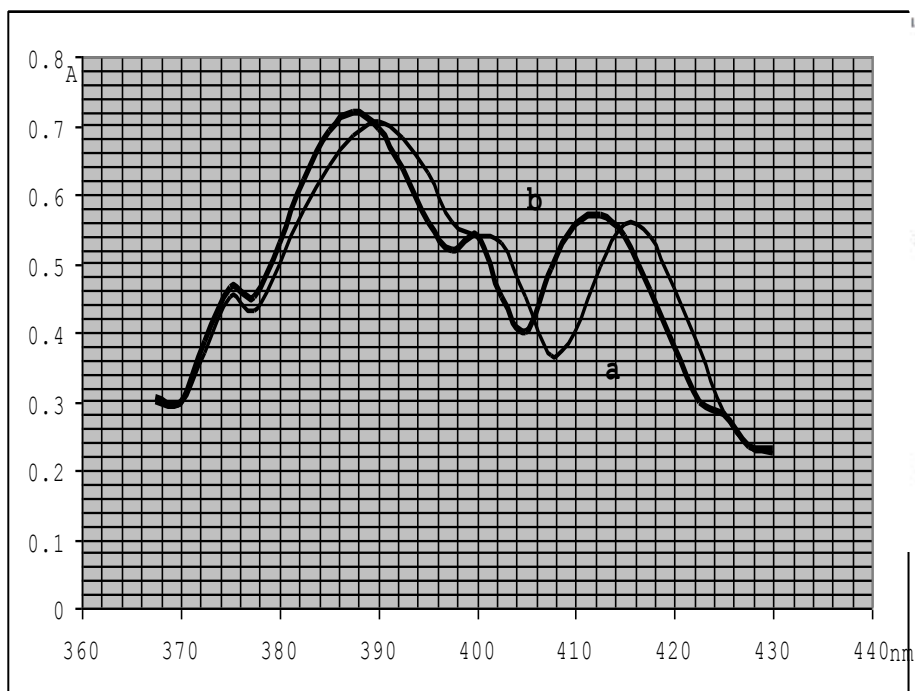
$$\ln K = \left( \frac{-\Delta H}{RT} \right) + \frac{\Delta S}{R}$$

- The slope of the plot corresponds to  $\frac{-\Delta H}{R}$ . The  $\Delta G$  and  $\Delta S$  values were obtained using the following equations
- $\Delta G = -RT \ln K$
- $\Delta G = \Delta H - T\Delta S$



# *Study of Host-guest interaction in complex formation*

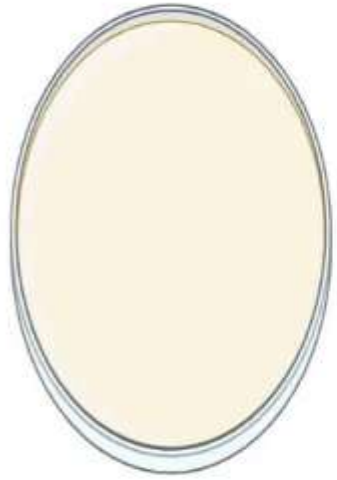
- \* A **higher shift** in the **melting point** indicated that these compounds were encapsulated in the  $\beta$ -CD cavity.
- \* Both **hypsochromic** and **hyperchromic** shift to a small extent which might be due to a change in environment (polarity) of the drug found during complex formation.
- \* Some characteristic IR peaks disappeared and **new peaks appeared** confirming the formation of inclusion complexes between drug and its derivative with  $\beta$ -CD.
- \* These molecules were incorporated within the hydrophobic cavity of  $\beta$ -CD and hence the peaks in the donor's IR spectra **got broader and weaker**.
- \* The interaction of donor (guest) and acceptor (host) was based on the main binding forces including hydrogen bonding, vander Waal's forces and hydrophobic interactions. Since there was no large shifting of signals, the possibility of co-valent bonding in the inclusion complexes was excluded.
- The broad peak of  $\beta$ -CD at 3398  $\text{cm}^{-1}$  had **reduced** its **intensity** in each spectra of the inclusion complexes indicating their formation
- The DSC curves of the simple mixture resemble the sum of the cure of two pure substances. After melting, a small **exothermic peak** is recorded, suggesting complex formation.



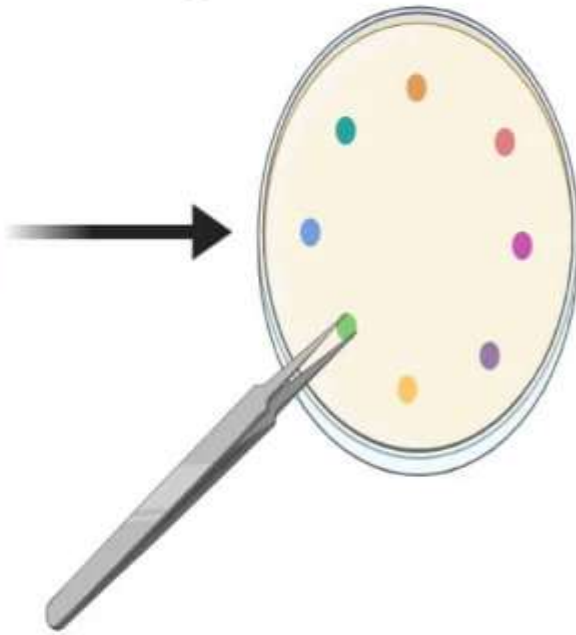
# CYCLODEXTRIN EFFECTS ON IMPORTANT DRUG PROPERTIES

- **Inclusion Complexation**
- **Solubility and dissolution enhancement**
- **Enhanced drug bioavailability**
- **Easier drug permeability**
- **Enhanced drug stability**
- **Improved drug safety**
- **Reduce gastrointestinal irritation, unpleasant smells/ tastes**

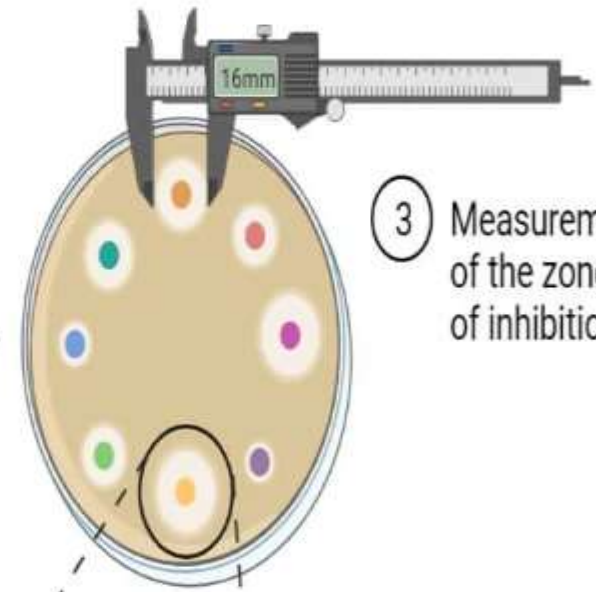
① Inoculated agar plate



② Addition of antibiotic discs



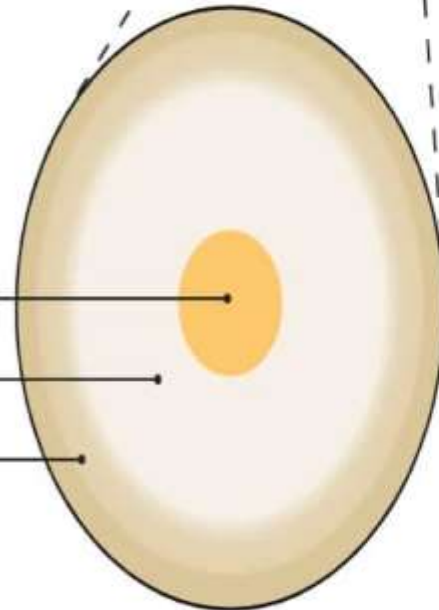
*Incubation*



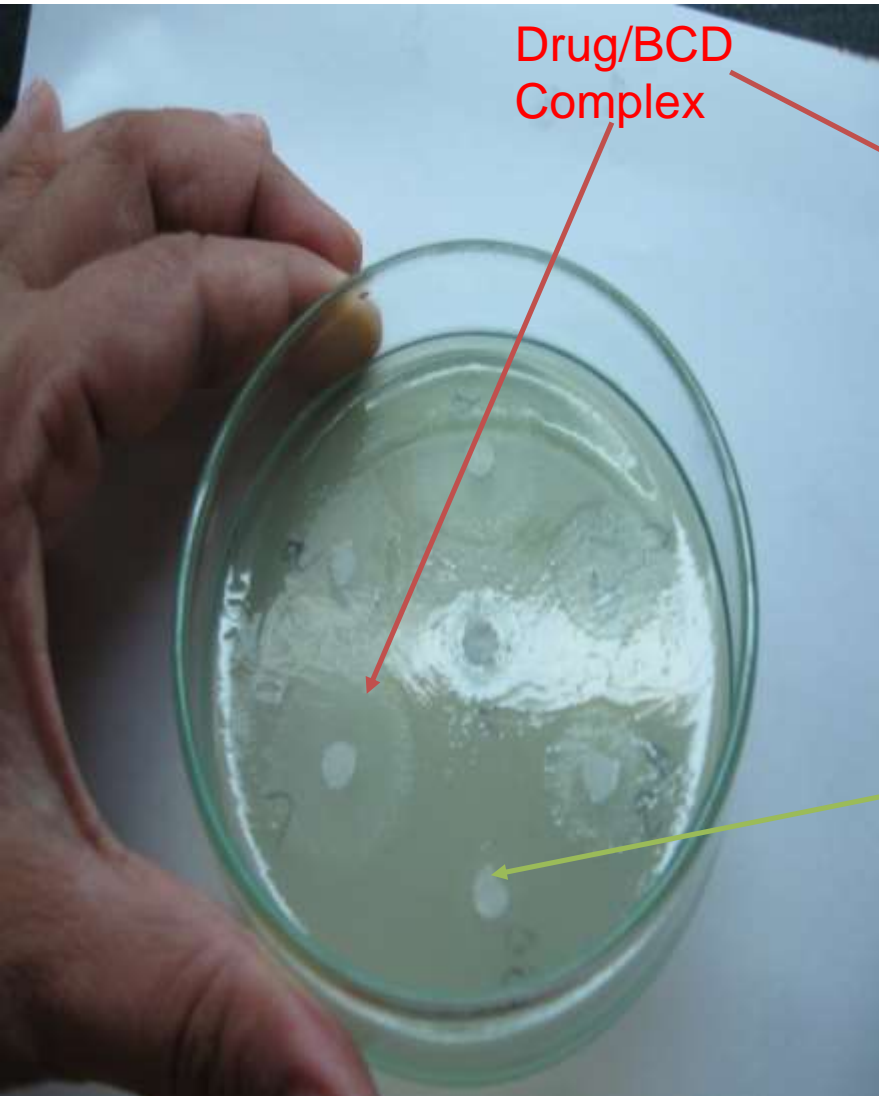
③ Measurement of the zone of inhibition

# Kirby Bauer Disc Diffusion Method

Antimicrobial disc  
Zone of inhibition  
Bacterial growth



# Antimicrobial Study (Measurement of ZOI)



Disc diffusion test for *P. aeruginosa*



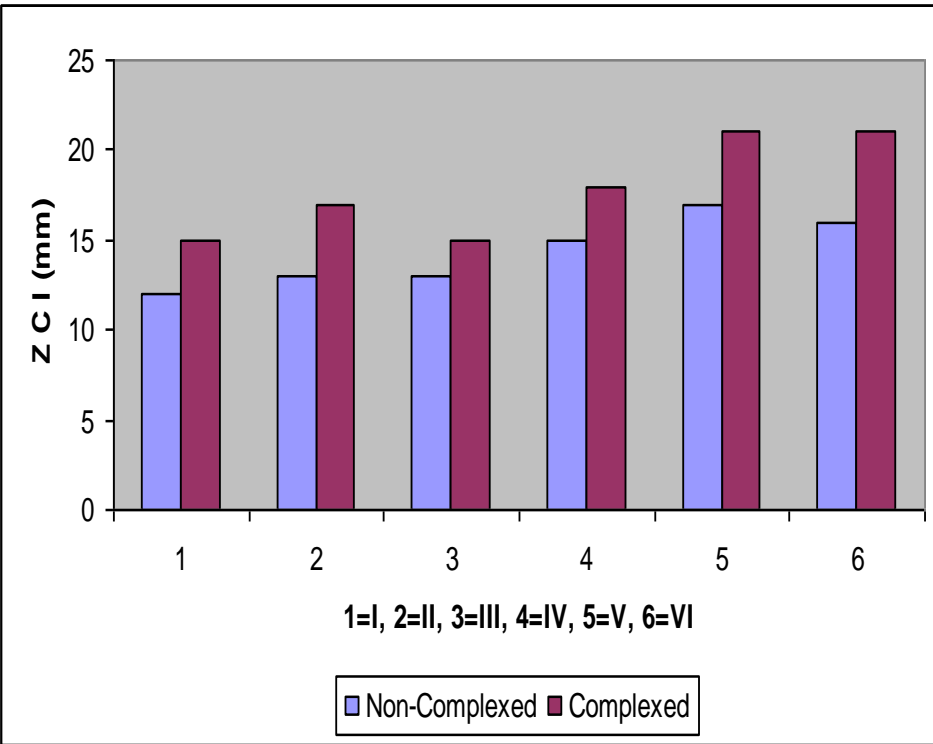
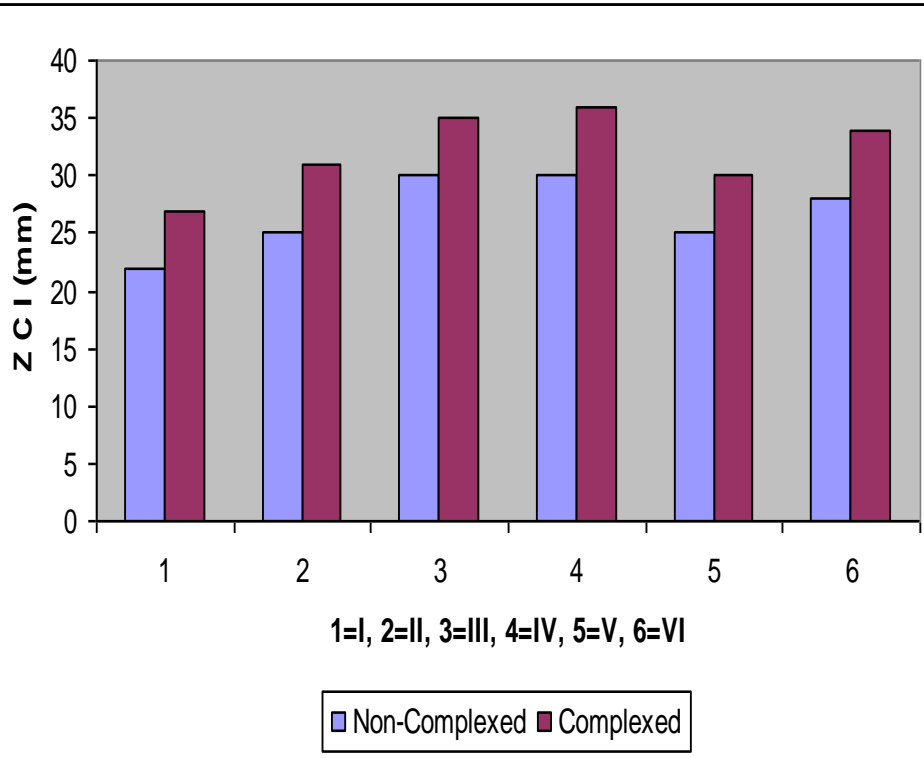
Disc diffusion test for *E. coli*

## Antibacterial Sensitivity Reading

Sl. No.	Compound	Zone of Complete Inhibition (ZOI) in mm		
		<i>S. Aureus</i>	<i>P. Aeruginosa</i>	<i>E. Coli</i>
1	V	5(R)	24(S)	22(S)
2	Vc	8(R)	32(S)	30(S)
3	VI	5(R)	26(S)	26(S)
4	VIc	7(R)	38(S)	35(S)
5	Ciprofloxacin	30(S)	35(S)	35(S)

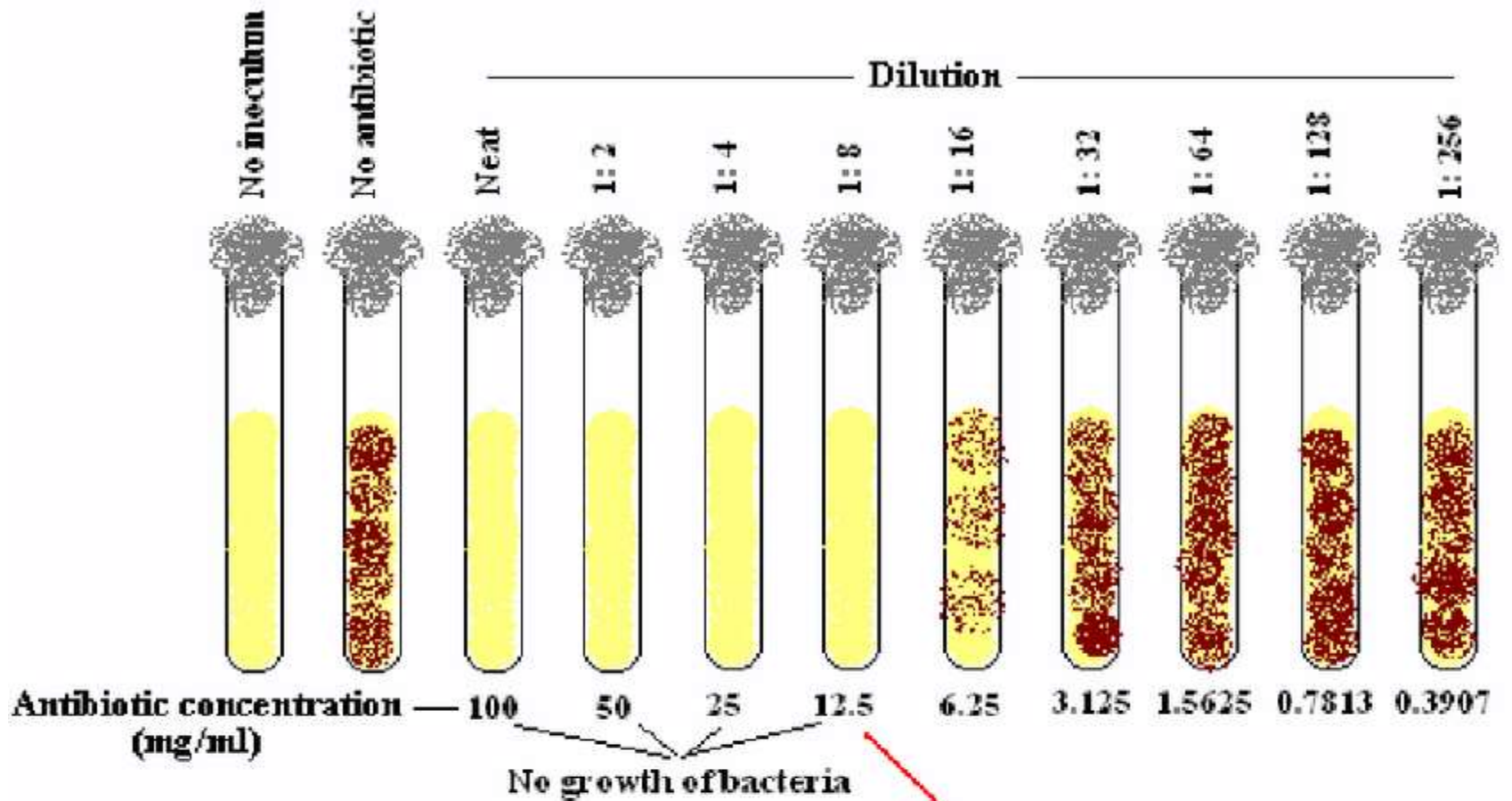
The antibacterial activity against *P. aeruginosa* of compounds V and VI had been increased by 20% and 25% while the same against *E. Coli* were 23.5% and 31.2% respectively after inclusion complexation.

# ZCI



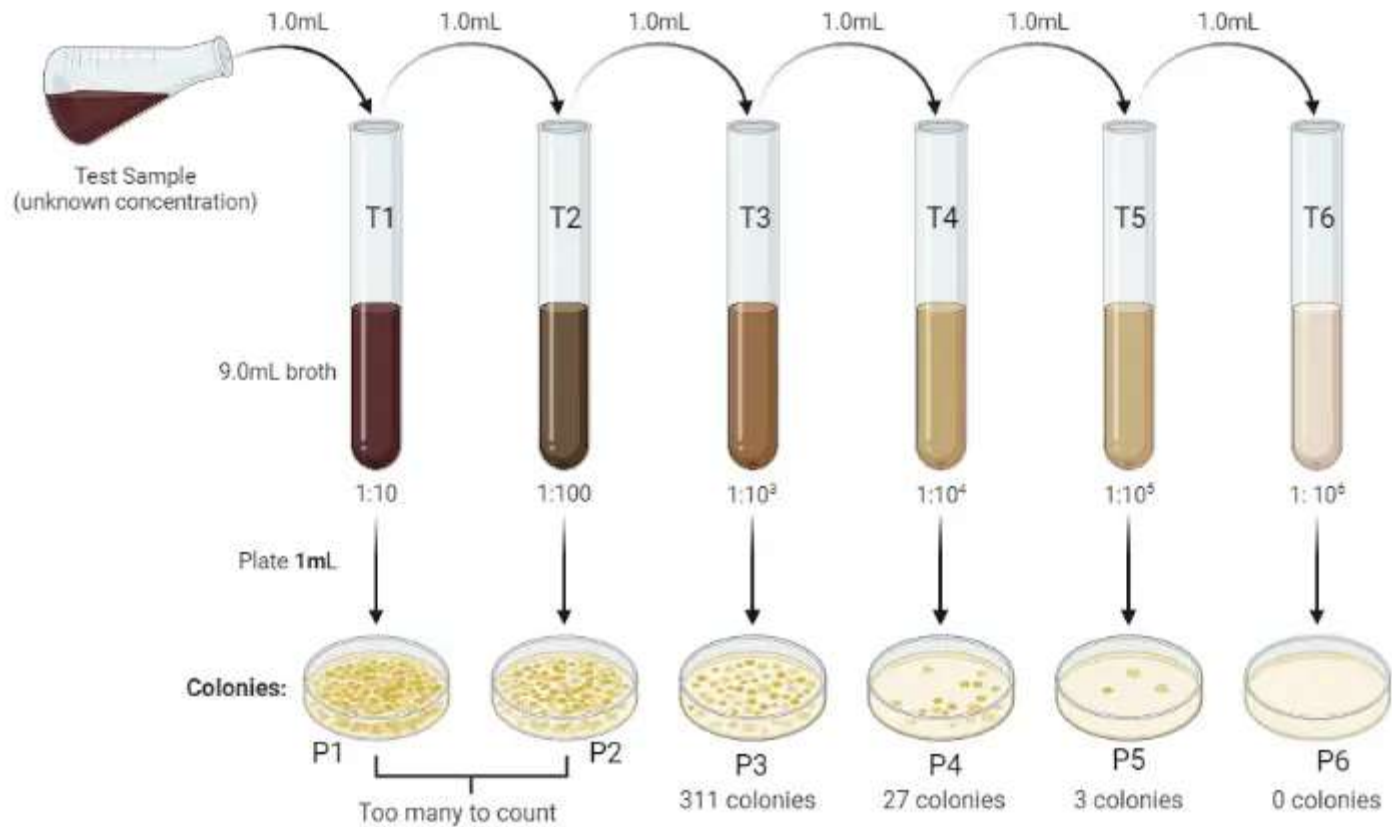
ZOI by acridone and derivatives (I-VI) against *P. aeruginosa*

ZOI by acridone and derivatives (I-VI) against *E. coli*



The MIC of antibiotic is 12.5 microgram/ml





**CFU/mL** = Number of colonies on plate x reciprocal of dilution of sample = number of bacteria/mL

$$311 \text{ colonies} \times 10^3 = 3.11 \times 10^5 \text{ CFU/mL in sample}$$

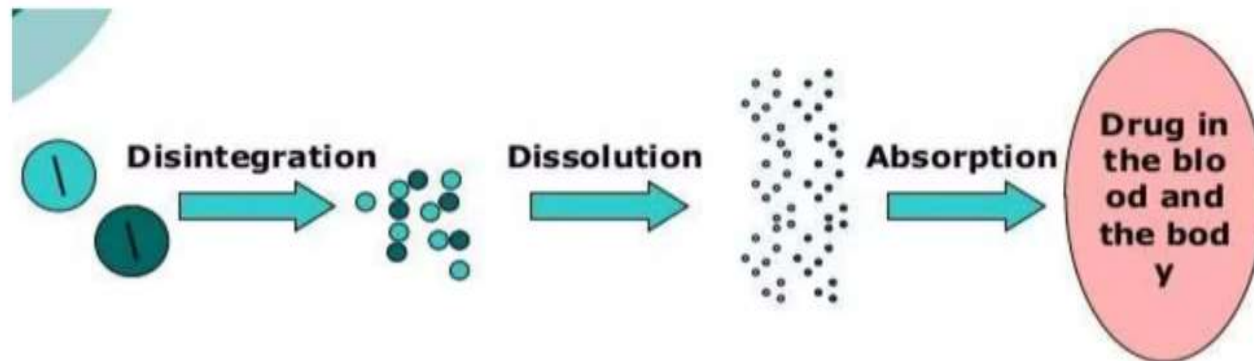
## Determination of MIC/MBC ( $\mu\text{g/ml}$ )

Sl. No.	Compound	<i>P. Aeruginosa</i>		<i>E. Coli</i>	
		M I C	M B C	M I C	M B C
1	V	30	30	50	75
2	Vc	22.5	25.5	25	50
3	VI	35	40	50	75
4	VIc	27.5	30	50	50
5	Ciprofloxacin	22.5	25	25	25

**What's the correct dose ?**

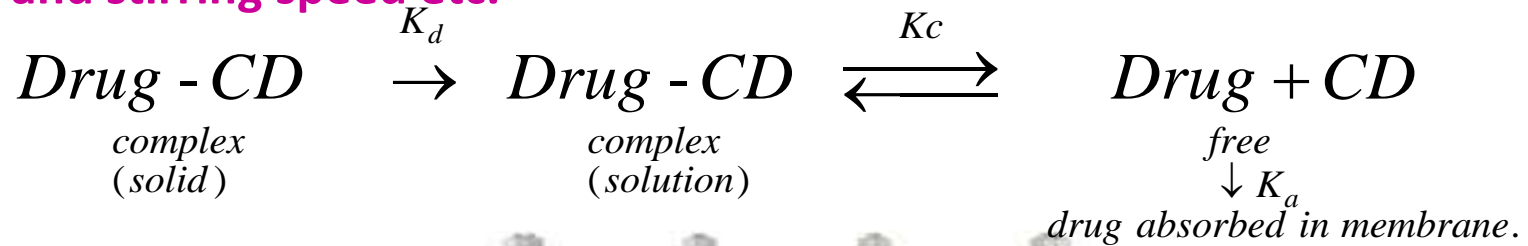
# DISSOLUTION :

- Dissolution is a process in which a solid substance solubilizes in a given solvent (mass transfer from the solid surface to the liquid phase.)
- Dissolution testing measures the extent and rate of solution formation from a dosage form, such as tablet, capsule, ointment, etc.
- The dissolution of a drug is important for its bioavailability and therapeutic effectiveness.



# IN-Vitro Dissolution Study

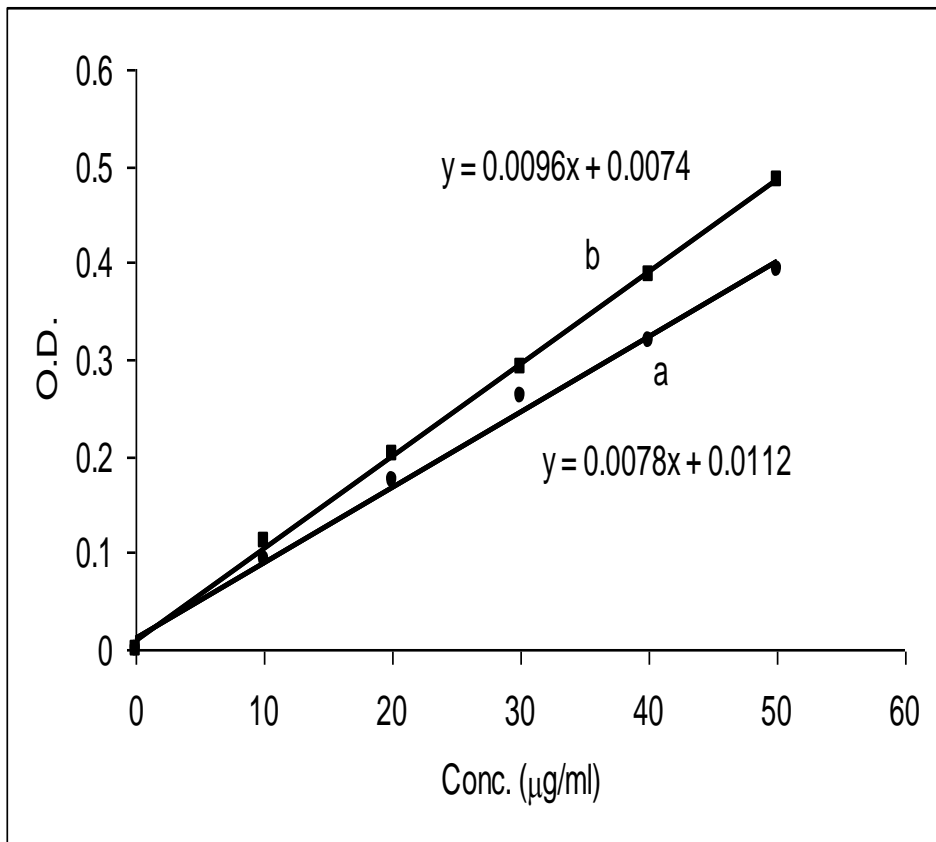
- Drug dissolution: A process in which the drug gets freed from its pharmaceutical form into solution in standard condition it can be absorbed by the organisms.
- The *in-vitro* dissolution study requires the experimental set up similar to that of the *in-vivo* i.e a) same pH, same fluid volume of stomach, temp. and stirring speed etc.



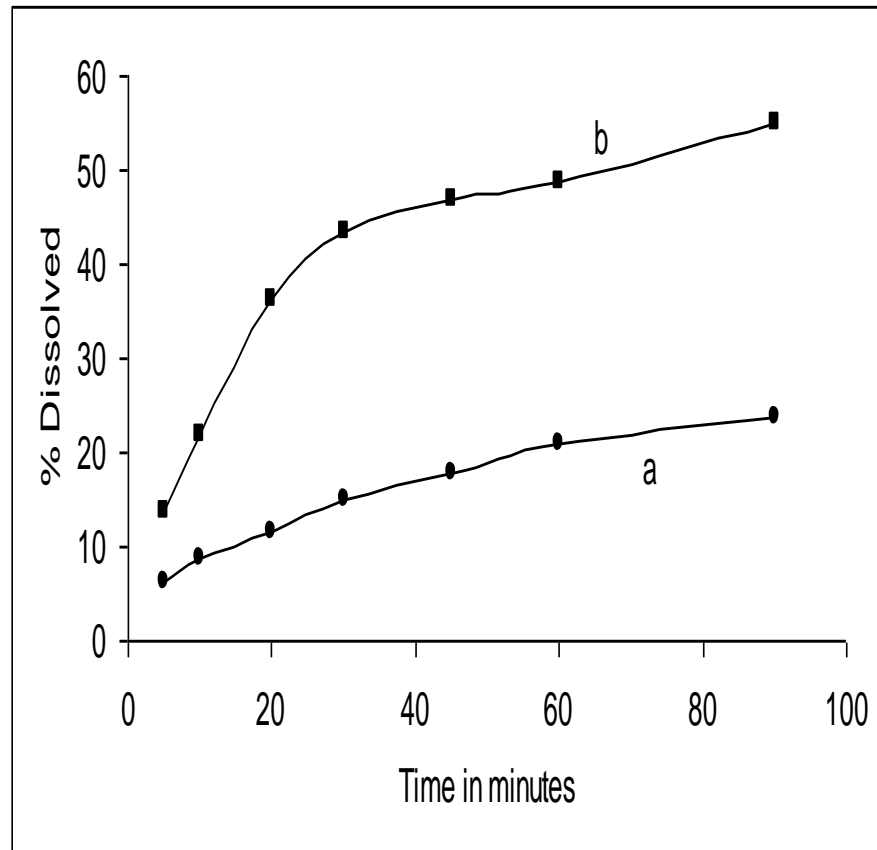
- Standard curves were obtained by plotting the absorbance (OD) values at different concentrations (0-50  $\mu\text{g/ml}$ ) of each sample which gave rise to linear plots ( $y = mx+c$ ). From these linear equations, the percentages of drug dissolved at different time were calculated by putting the OD values as 'y' and concentration of sample dissolved as 'x'. Then the dissolution profiles were obtained by plotting percentage of sample dissolved against time in minutes for the compounds and their inclusion complexes.
- The  $DE_{30}$  of each sample was calculated from the area under the dissolution curve at  $t = 30\text{min}$  (measured using the trapezoidal rule) and expressed as a percentage of the area of the rectangle described by 100% dissolution at the same time.

$$DE_t = \frac{\int_0^t y_t dt}{y_{100} t} \times 100$$

$y_t$  = % of compound dissolved at time 't'.  
 $y_{100}$  = 100% dissolution at the same time.



**Standard curve of acridone (a) & its inclusion complex (b)**



**Dissolution profile of acridone (a) & its inclusion complex (b)**

## *In vitro* dissolution data

Sl. No	Sample	Percentage dissolved at different time intervals in min							DE <sub>30</sub> %	K <sub>1</sub> × 10 <sup>-2</sup> min <sup>-1</sup>
		5	10	20	30	45	60	90		
1	I	6.41	8.7	11.7	14.9	17.9	20.9	23.9	4.6	0.302
2	Ic	3.6	21.9	36.1	43.3	46.9	48.6	55.1	52.3	0.886
3	II	6.91	10.1	12.8	16.8	20.2	22.7	25.8	5.4	0.331
4	IIc	2.9	20.9	30.1	39.5	44.9	52.1	60.2	55.8	1.023
5	III	6.7	9.1	13.3	16.4	21.2	25.4	28.0	4.3	0.365
6	IIIc	11.9	20.2	29.9	46.6	47.5	52.3	58.8	51.1	0.985

## *In vitro* dissolution data

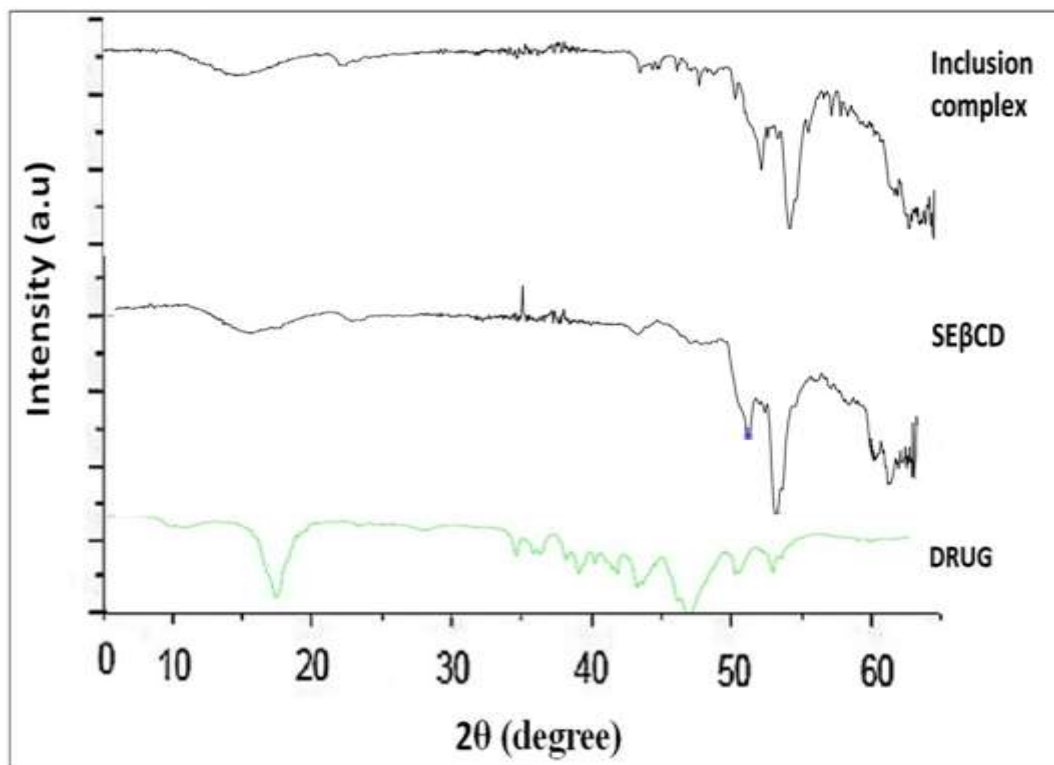
Sl. No	Sample	Percentage dissolved at different time intervals in min							DE <sub>30</sub> %	K <sub>1</sub> × 10 <sup>-2</sup> min <sup>-1</sup>
		5	10	20	30	45	60	90		
7	IV	8.7	12.1	15.4	18.7	23.2	27.6	32.1	6.8	0.431
8	IVc	12.2	24.5	45.9	56.3	61.1	65.1	75.4	76.8	1.559
9	V	5.1	7.6	10.3	12.9	17.1	22.8	28.2	6.6	0.368
10	Vc	8.2	16.2	24.9	34.2	43.4	52.5	63.2	68.7	1.112
11	VI	4.5	5.7	8.9	12.4	16.6	21.0	27.2	6.7	0.351
12	VIc	7.8	15.1	27.4	38.4	46.2	53.0	60.3	67.8	1.026

Increase of  $k_1$  s by 2.93, 3.1, 2.7, 3.62, 3.02 and 2.92 fold  
& by 11.4, 10.3, 11.9, 11.3, 10.4 and 10.1 folds for the DE<sub>30</sub> values  
after inclusion complexation



## ATR-FTIR

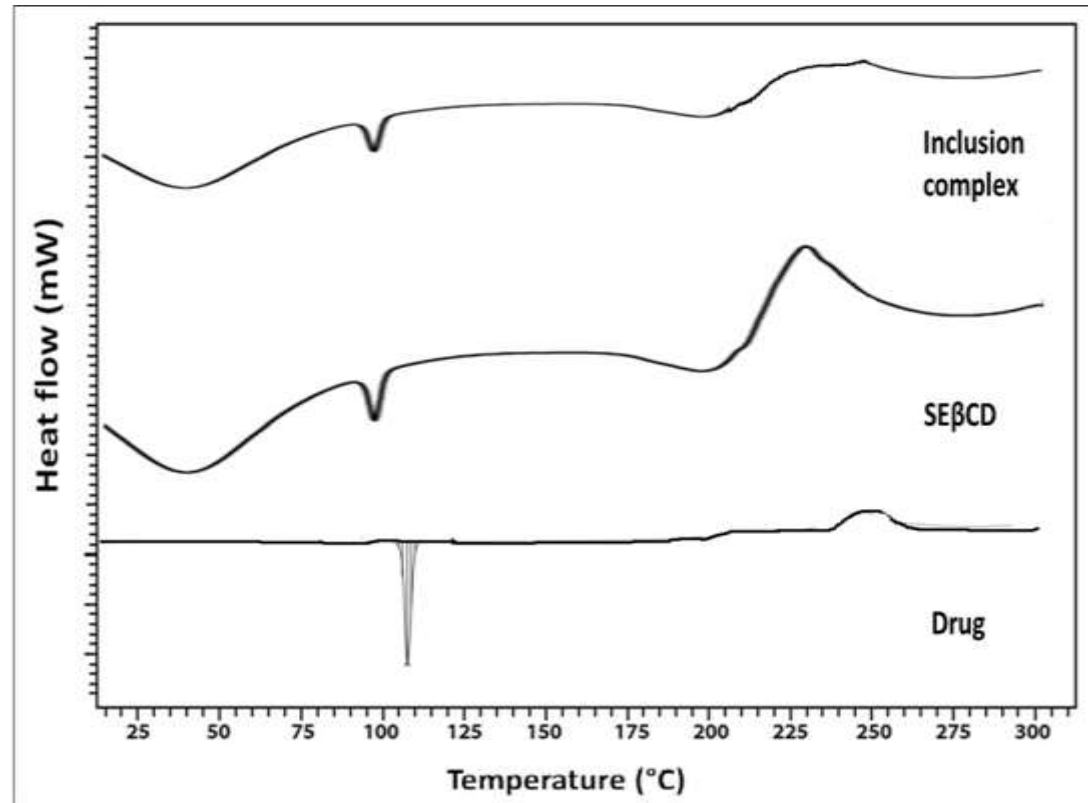
- ATR-FTIR is a convenient method to evaluate drug (guest) and SE $\beta$ CD (host) solid-state interaction [2].
- The IR spectra of the inclusion complex depicted a decrease in intensity, alter, and disappearance of some distinctive IR bands of ILO.
- The interaction of ILO with SE $\beta$ CD was confirmed by a significant shift of some distinctive bands of ILO.



**Figure 3.** FT-IR spectra of Drug (ILO), SE $\beta$ CD and inclusion complex

# DSC

- DSC of ILO displayed a sharp and well-defined endothermic peak at 120°C, conforming to the drug (ILO) melt point.
- In the endotherm of SE $\beta$ CD, a broad peak was detected at 86°C. The endotherm of ILO was utterly disappeared into the stable inclusion complex.
- The amorphization of the drug describes an enhancement in the dissolution profile of ILO [3].



**Figure 4.** DSC thermogram of Drug (ILO), SE $\beta$ CD and inclusion complex

# THE KNEADING TECHNIQUE

Inclusion complex of  $\beta$ - cyclodextrin and the drug in 1:1, 1:2, 1:3 molar ratio can be prepared by the BCD, This method is based on impregnating the CDs with little amount of water or hydroalcoholic solutions to converted into a paste. 50% V/V ethanol were added while triturating to get slurry like consistency. Then slowly the drug was incorporated into the slurry, and trituration was continued further for 1 hrs. The slurry was then air dried at 25°C for 24 hrs. Pulverized, and passed through sieve no. 100 and stored in a dessicator over fused calcium chloride.



Drug



Mixture



$\beta$ - cyclodextrin

## Kneading Method.....



50% V/V  
Ethanol



Slurry  
preparation



Oven or Air  
drying



Drug-BCD  
Powder

## Co-precipitation technique

This method involves the co-precipitation of drug and CDs in a complex. In this method, required amount of drug is added to the solution of CDs. The system is kept under magnetic agitation with controlled process parameters and the content is protected from the light. The formed precipitate is separated by vacuum filtration and dried at room temperature in order to avoid the loss of the structure water from the inclusion complex.

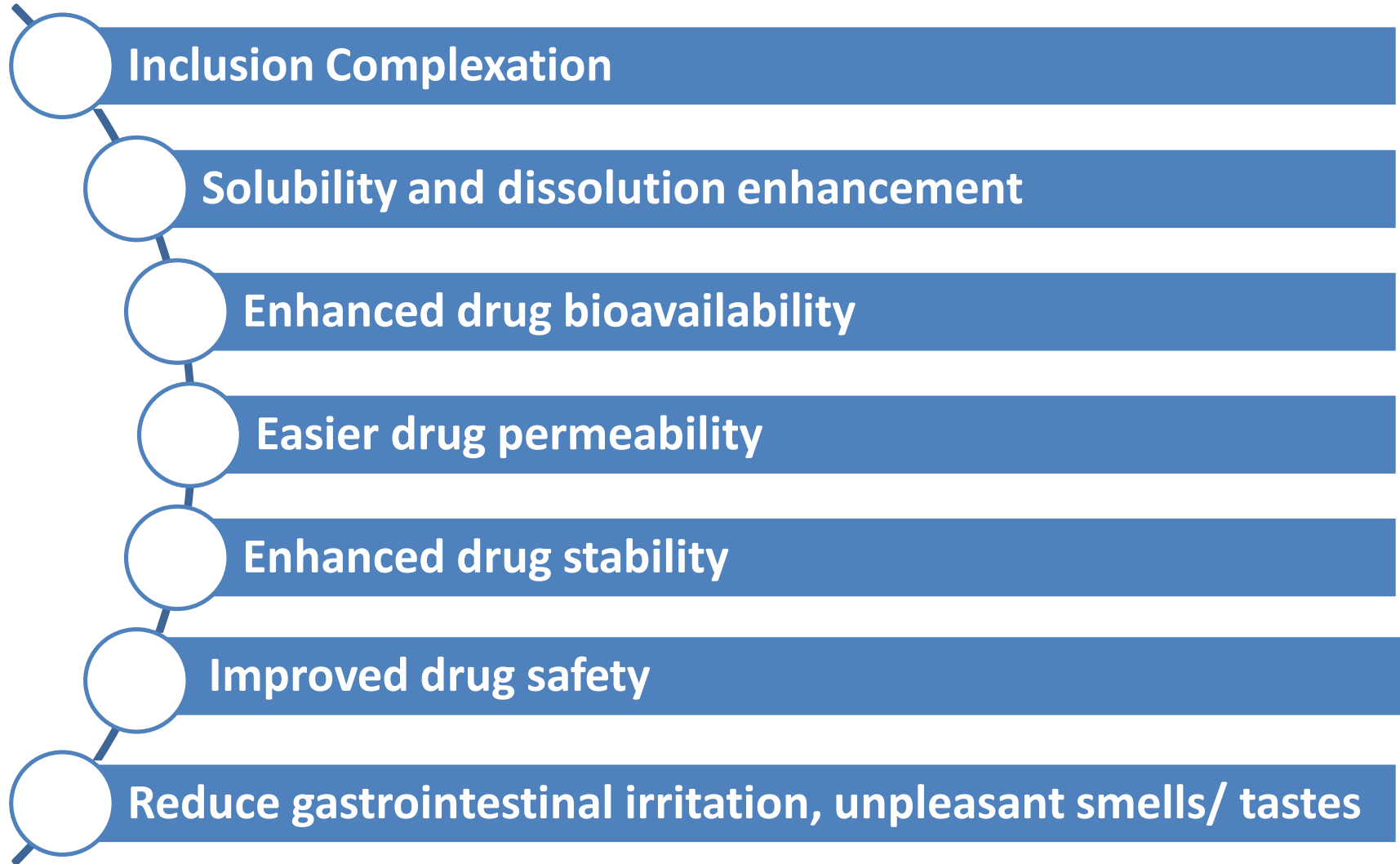
## Milling/Co-grinding technique

A solid binary inclusion compounds can be prepared by grinding and milling of the drug and CDs with the help of mechanical devices. Drug and CDs are mixed intimately and the physical mixture is introduced in an oscillatory mill or Ball mill and grinded for suitable time at suitable speed. Then it is unloaded, sieved through a 60-mesh sieve. This technique is superior to other approaches from **economic** as well as **environmental** stand point in that unlike similar methods it does not require any toxic organic solvents

## Other Methods.....

- Physical Blending
- Spray Drying
- Solvent Evaporation
- Freeze Drying
- Microwave Irradiation
- Supercritical Anti-solvent technique

# CYCLODEXTRIN EFFECTS ON IMPORTANT DRUG PROPERTIES



# KIRBY-BAUER TEST

Zone of inhibition

Antibiotic disk

Bacterial growth

Intermediate

Resistant

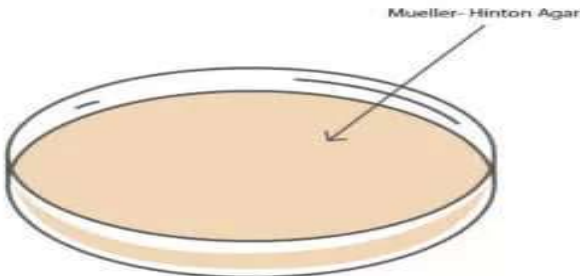
Susceptible



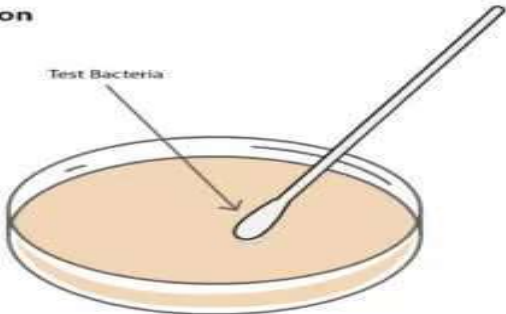


# Zone Of Inhibition Test

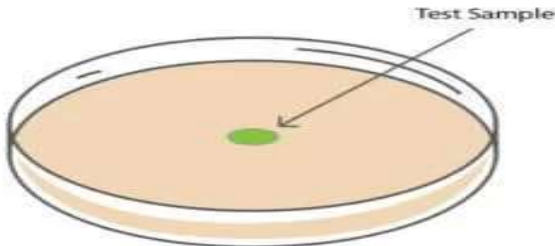
## 1. Preparation of growth medium



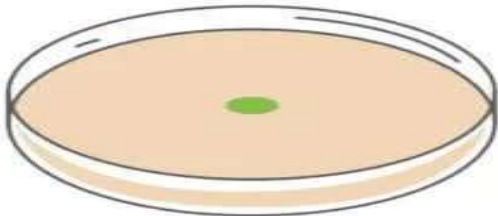
## 2. Inoculation



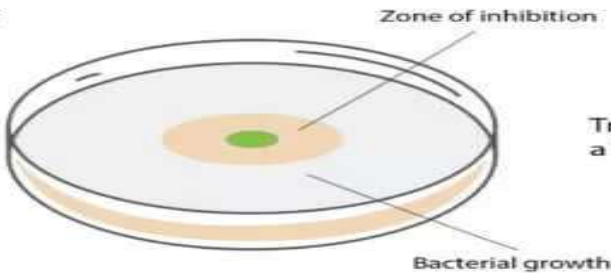
## 3. Insertion of antimicrobial sample



## 4. Incubation



## 5. Results



Treated products with strong antibacterial activity form a larger zone of inhibition or vice versa.

THE FAMILY CIRCUS,

By Bil Keane



**OK, now I understand your SIMPLE (???)explanation.**

**THANK YOU**